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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

8

Application Number	09/936,271
Filing Date	09/10/2001
First Named Inventor	Goerge M. Youset
Group Art Unit	
Examiner Name	
Attorney Docket Number	MTS3USA

### ENCLOSURES (check all that apply)

- Fee Transmittal Form
- Fee Attached
- Amendment / Reply
- After Final
- Affidavits/declaration(s)
- Extension of Time Request
- Express Abandonment Request
- Information Disclosure Statement
- Certified Copy of Priority Document(s)
- Response to Missing Parts/ Incomplete Application
- Response to Missing Parts under 37 CFR 1.52 or 1.53

- Assignment Papers (for an Application)
- Drawing(s)
- Licensing-related Papers
- Petition
- Petition to Convert to a Provisional Application
- Power of Attorney, Revocation Change of Correspondence Address
- Terminal Disclaimer
- Request for Refund
- CD, Number of CD(s) \_\_\_\_\_

Remarks

1- Diskette

- After Allowance Communication to Group
- Appeal Communication to Board of Appeals and Interferences
- Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- Proprietary Information
- Status Letter
- Other Enclosure(s) (please identify below):

3 pp.-Copy of Notice  
of Defective Response

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
or  
Individual name

HOWSON AND HOWSON  
Mary E. Bak

Signature

Mary E. Bak

Date

04/10/2002

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: \_\_\_\_\_

Typed or printed name

Signature

Date

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



00270

PATENT TRADEMARK OFFICE



00270

PATENT TRADEMARK OFFICE

MTS3USA

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of	) Group Art Unit:
	)
George M. Yousef	) Examiner:
	)
Appln. No. 09/936,271	)
	)
Filed: September 10, 2001	)
	)
For: NOVEL HUMAN KALLIKREIN-LIKE GENES	) April 10, 2002
	)

Commissioner for Patents  
U. S. Patent and Trademark Office  
Box Sequence  
Crystal Plaza Two  
2011 South Clark Place  
Arlington, VA 22202

Attn: Application Division

## RESPONSE TO NOTICE OF DEFECTIVE RESPONSE

Sir:

This is in response to the "Notice of Defective Response" dated April 3, 2002 which indicated that the original computer readable form (which was included in the Applicants' response filed on December 5, 2001 to the Notification of Missing Requirements under 35 USC §371, dated November 5, 2001) was unreadable.

Enclosed herewith as requested by the Notice of Defective Response are the following items:

(X) A substitute copy of the original computer readable form of the Sequence Listing to replace the damaged or unreadable CRF; and  
(X) A copy of the Notice of Defective Response.

(X) I hereby state that to the best of my knowledge and belief the substitute copy of the computer readable form, submitted in accordance with **37 CFR §1.825(d)**, contains identical data to that originally filed.

The Director is hereby authorized to charge any underpayment or credit any overpayment associated with this communication to Deposit Account No. 08-3050.

Respectfully submitted,

HOWSON AND HOWSON  
Attorneys for the Applicants

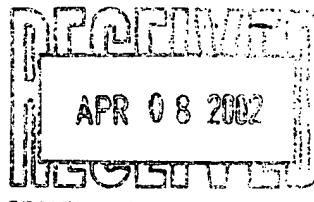
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## UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT  
United States Patent and Trademark Office  
Washington, D.C. 20231  
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/936,271	George M Yousef	MTS3USA
INTERNATIONAL APPLICATION NO.		
PCT/CA00/00258		
I.A. FILING DATE	PRIORITY DATE	
03/09/2000		
00270		
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CONFIRMATION NO. 2550  
371 FORMALITIES LETTER  
\*OC000000007755035\*

ENTERED  
DUE 5-3-02

Date Mailed: 04/03/2002

## NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fee
- Biochemical Sequence Diskette
- Biochemical Sequence Listing
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Oath or Declaration
- Preliminary Amendments
- Request for Immediate Examination

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

**Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).**

The following items **MUST** be furnished within the period set forth below:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):
  - The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 CFR 1.825(d).
  - APPLICANT MUST PROVIDE:

- An initial or substitute computer readable form (CRF) of the "Sequence Listing."
- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
  - For Rules Interpretation, call (703) 308-4216
  - To Purchase PatentIn Software, call (703) 306-2600
  - For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov
- The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 CFR 1.825(d).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice MUST be returned with the response.*

LAMONT M HUNTER

Telephone: (703) 305-3686

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/936,271	PCT/CA00/00258	MTS3USA

FORM PCT/DO/EO/916 (371 Formalities Notice)